

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. 001301.00354
		First Inventor or Application Identifier Burke T. BARRETT et al.
		Title TREATMENT OF NEUROPSYCHIATRIC DISORDERS BY NEAR-DIAPHRAGMATIC NERVE STIMULATION

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>		ADDRESS TO: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27</small></p> <p>3. <input checked="" type="checkbox"/> Specification, claims & Abstract Total Pages 25</p> <p>4. <input checked="" type="checkbox"/> Formal Drawing(s) (35 U.S.C. 113) Total Sheets: 1</p> <p>5. <input type="checkbox"/> Oath or Declaration Total Pages a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(for continuation / divisional w/ box 18 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</small></p> <p>6. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification or Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies</p>		
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other:</p>		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation Divisional Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. Amend the specification by inserting before the first line the sentence:

This application is a Continuation Division Continuation-in-part (CIP)
of application Serial No. 09/917,603 Filed on July 28, 2001

This application claims priority of provisional application Serial No. Filed

20. CORRESPONDENCE ADDRESS

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27557

PATENT TRADEMARK OFFICE

Name: Donald R. Greene	Registration No.: 22,470
Signature: <i>Donald R. Greene Reg. No. 32,795</i>	Date: September 15, 2003

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 375.00)

Complete if Known	
Application Number	NEW APPLICATION
Filing Date	September 15, 2003
First Named Inventor	Burke T. BARRETT et al.
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	001301.00354

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: 23-2185				3. ADDITIONAL FEES Large Entity Small Entity Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid 105 130 205 65 SurchARGE - late filing fee or oath 127 50 227 25 SurchARGE - late provisional filing fee or cover sheet. 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 410 216 205 Extension for reply within second month 117 930 217 465 Extension for reply within third month 118 1,450 218 725 Extension for reply within fourth month 128 1,970 228 985 Extension for reply within fifth month 119 320 219 160 Notice of Appeal 120 320 220 160 Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive – unavoidable 141 1,300 241 650 Petition to revive – unintentional 142 1,300 242 650 Utility issue fee (or reissue) 143 470 243 235 Design issue fee 144 630 244 315 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Processing fee for provisional applications 126 180 126 180 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 750 246 375 Filing a submission after final rejection (37 CFR § 1.129(a)) 149 750 249 375 For each additional invention to be examined (37 CFR § 1.129(b)) 179 750 279 375 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) _____			
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other							
FEE CALCULATION							
1. BASIC FILING FEE Large Entity Small Entity Fee Code Fee (\$) Fee Code Fee Description 101 750 201 375 Utility filing fee 106 330 206 165 Design filing fee 107 520 207 260 Plant filing fee 108 750 208 375 Reissue filing fee 114 160 214 80 Provisional filing fee				Fee Paid \$375.00			
SUBTOTAL (1) (\$375.00)							
2. EXTRA CLAIM FEES Total Claims 10 -20** = 0 X \$18 = \$0 Independent Claims 2 -3** = 0 X \$84 = \$0 Multiple Dependent \$280							
Large Entity Small Entity Fee Code Fee (\$) Fee Code Fee Description 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims over original patent 110 18 210 9 ** Reissue claims in excess of 20 and over original patent				Fee Paid \$375.00			
SUBTOTAL (2) (\$375.00)							
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (S 0)							

** or number previously paid, if greater; For Reissues, see above

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SUBMITTED BY			Complete (if applicable)		
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Signature	<i>Donald R. Greene by Michael O'White</i>		Log. No. 32,795	Date	September 15, 2003